



AI, TECHNOLOGY INNOVATION & COMPLIANCE

Transforming Compliance for Your Entire Organization

June 27, 2017 | NYC

 **Financial Research
Associates, LLC**
COMPLIANCE WEEK

8:00 – 8:45

Registration and Continental Breakfast

2nd Floor Foyer, Princeton Club

8:45 – 9:45

Opening Session: How Technology and Innovation Is Driving the Future Organization

- Overview of the rapid rise of AI, machine and deep learning, robotics, block chain, Internet of Things, and data analytics
- What technology can and cannot do in 2017
- What technology will and will not do in 2027
- What professions and industries will be most affected
- How companies are adapting

Will Bible, *Partner*

Deloitte & Touche LLP

David Bogoslaw, *Contributor*

Compliance Week

Scott Zoldi, *Chief Analytics Officer*

FICO

9:45 – 10:45

Panel Session: How Technology and Innovation Is Advancing the Mission of Compliance and Audit

- How AI is helping compliance and internal audit
- Technologies of the present and near future
- Choosing the right technology for your company – things to keep in mind

John Lucker, *Principal*

Deloitte & Touche LLP

Marc Maramag, *Director-AML Compliance*

First Republic Bank

Neil White, *Principal, Internal Audit Analytics*

Deloitte & Touche LLP

10:45 – 11:15

Networking Break

11:15 – 12:00

Breakout Session 1 A: Transformation in the Internal Audit Function

Madison Room

Neil White, *Principal, Internal Audit Analytics*
Deloitte & Touche LLP

Breakout Session 1 B: Transformation in the Compliance Function

Hamilton Room

Barak Tsivkin, *CEO*
Haystac Inc.

12:00 – 1:15

Buffet Lunch

1:15 – 2:15

Panel Session: New Risks Associated With AI and Technology and How to Mitigate Them

- Ethical decision making is hard enough for humans – what about machines?
- Over-reliance on technology and potential amplified impact of misinformation
- Keeping up with increased regulations
- Integration of new technologies with legacy systems
- Cybersecurity and data privacy concerns of the Internet of Things

John Finley, *Chief Compliance, Audit and Privacy Officer*
WakeMed Health and Hospitals

Jude Soundar, *Associate Deputy General Counsel*
Army Office of the General Counsel

Scott Zoldi, *Chief Analytics Officer*
FICO

2:25 – 3:10

Breakout Session 2 A: Transformation in Monitoring / Fraud Detection

Madison Room

Kal Ghadban, *Practice Director, Analytics and Data Science Consulting*
CaseWare Analytics

Breakout Session 2 B: Transformation in Training & Communications

Hamilton Room

Brendan Kehoe, *Senior Counsel*
Pearson

3:10 – 3:30

Networking Break

3:30 – 4:15

Breakout Session 3 A: Transformation for Financial Services

Madison Room

Kim Neuwirth, *Director, Product Management*
Narrative Science

Breakout Session 3 B: Transformation for Non-Financial Services

Hamilton Room

Laura Beglane, *Compliance Operations Manager*
General Electric

4:20 – 5:20

Panel Session: Impacts on You, the Workforce, and Inter-functional Relationships

- Impact of replacement by machines on companies (culture, communications, etc.)
- What parts of audit and compliance can be automated and which cannot
- How audit, legal, compliance, and HR can work together to maximize technology
- Skills to develop to make your job irreplaceable

Anthony Dell, *Global Chief Compliance & Ethics Officer*
Ares Management

Joseph Lodato, *Global Head of Compliance Technology and Surveillance* Guggenheim
Partners

Kim Neuwirth, *Director, Product Management*
Narrative Science

5:20 – 5:30

Conference Closing

5:30 – 6:30

Networking Reception