

BUILDING COMPLIANCE



NICOLAS BARRE

Alcatel-Lucent



VP Anti Corruption Program Office (ACPO)

Alcatel-Lucent International Compliance Officer



#CWE2014

COMPLIANCE WEEK EUROPE
POWERFUL INSIGHTS, PRACTICAL IDEAS, REAL SOLUTIONS

A FIVE-YEAR INVESTMENT



**CONCERNS AND
EXPECTATIONS**

**SUSTAINABLE
COMPLIANCE**

Main Ingredients

Tone at the top



Build a team / assign responsibilities



Describe processes



Select a dedicated IT tool



Compliance in existing processes

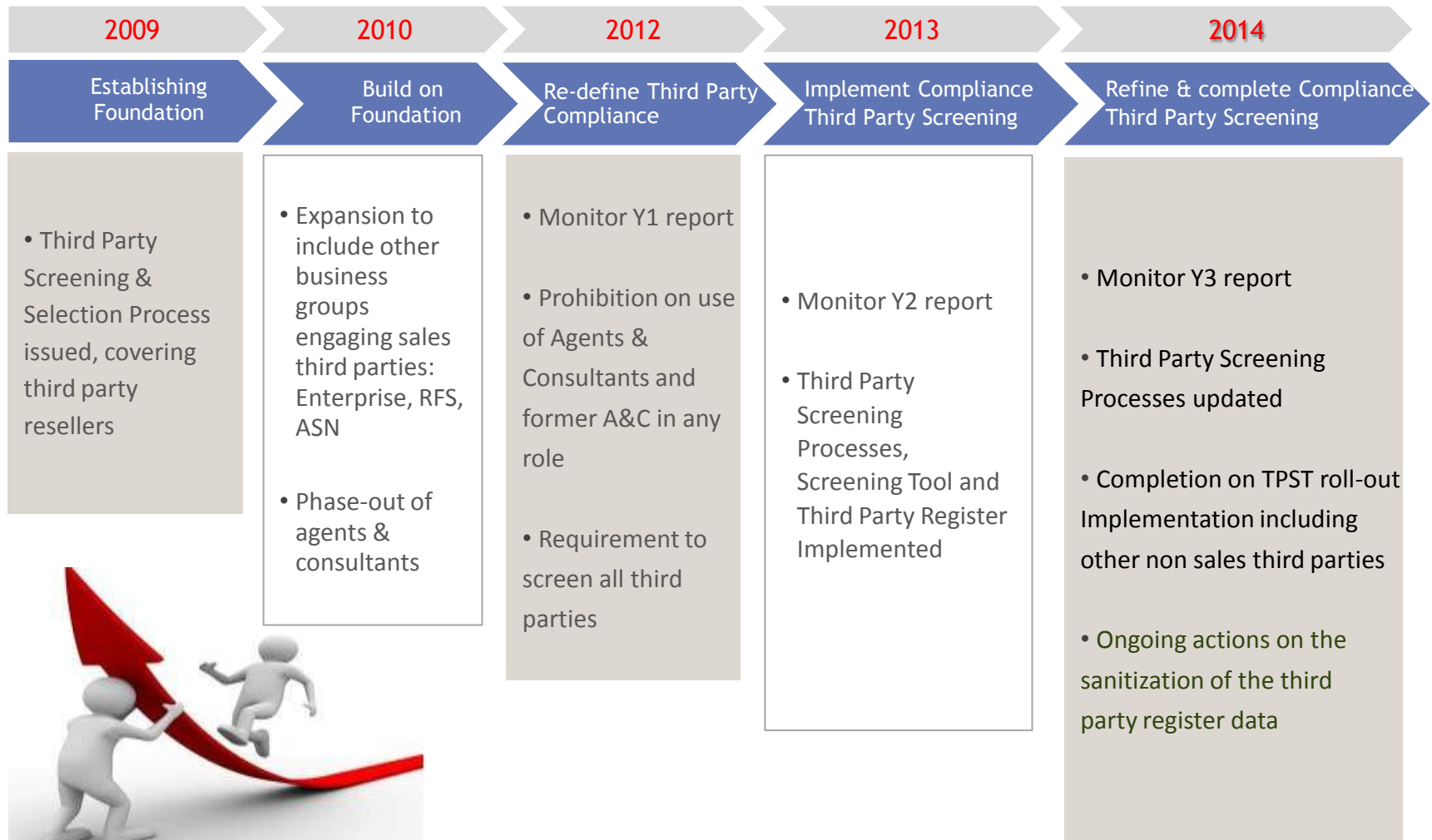


Train, train, train ...



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Roadmap



Screening Tool - Building Blocks

Questionnaires: CPQ - ACAF

Risk Rating - Due Diligences

Approvals Flows

Trainings - Acknowledgment

Decision Check List

Escalation Process ...



Business Units Acceptance

- **Requires business acumen and good knowledge of business drivers**
- **Consensus amongst stake holders**

And mainly

- **Business Units must 'see' the added value of Compliance in their daily life**