

Leveraging Technology for Better Policy Management

Dan Berrios

Realogy Holdings Corp.

Ethics & Compliance Counsel

COMPLIANCE WEEK 2014
POWERFUL INSIGHTS, PRACTICAL IDEAS, REAL SOLUTIONS

#CW2014

Realogy's Compliance Policies

Who is Realogy?

International Subsidiaries

Global Supply Chain Network

Policy Programs

Universal vs. Federated

Employees vs. Third Parties

Policy Management Considerations

Are Policies Current?

Are Policies Clear?

Do Policies Reach Proper Audiences?

How Do You Hold Audience to Compliance?

Leveraging Existing Systems

Partner with IT Departments & System Owners

Compare Program Needs vs. Systems Capabilities

Integrate Policy Management Needs into Normal System

First Steps to Policy Management

Central Repository for All Policies with Access for All Audiences

Communication of Policy Updates and Refresher Lessons

Certification of Adherence to Certain Policies

Substitution of Policies by Third Parties