

# Retooling Your Compliance Program for Modern Technology Risks

**Thomson Reuters**

Panel Discussion

Sean Cronin, Mahtab Haider,  
Michael Rasmussen

THOMSON REUTERS  
**ACCELUS™**

**COMPLIANCE WEEK** **2013**  
POWERFUL INSIGHTS, PRACTICAL IDEAS, REAL SOLUTIONS

#CW2013

# Panelists

**MATT TOLL (Moderator)**

Head of Marketing Communications; Thomson Reuters

**SEAN CRONIN**

Global Director of Pre-Sales; Thomson Reuters

**MAHTAB HAIDER**

Manager, Risk Assurance Internal Audit Management; AFLAC

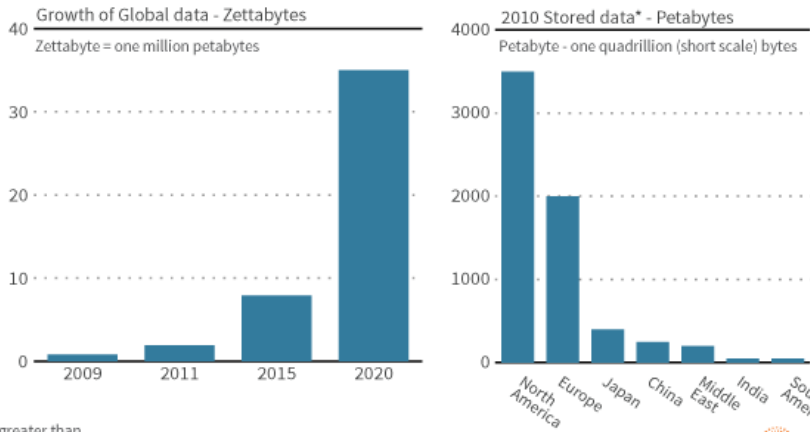
**MICHAEL RASMUSSEN**

Chief GRC Pundit; GRC 20/20 Research

# Challenge: Technology Growth is Outpacing Compliance Activities

## Big data growth

Big data market is estimated to grow 45% annually to reach \$25 billion by 2015



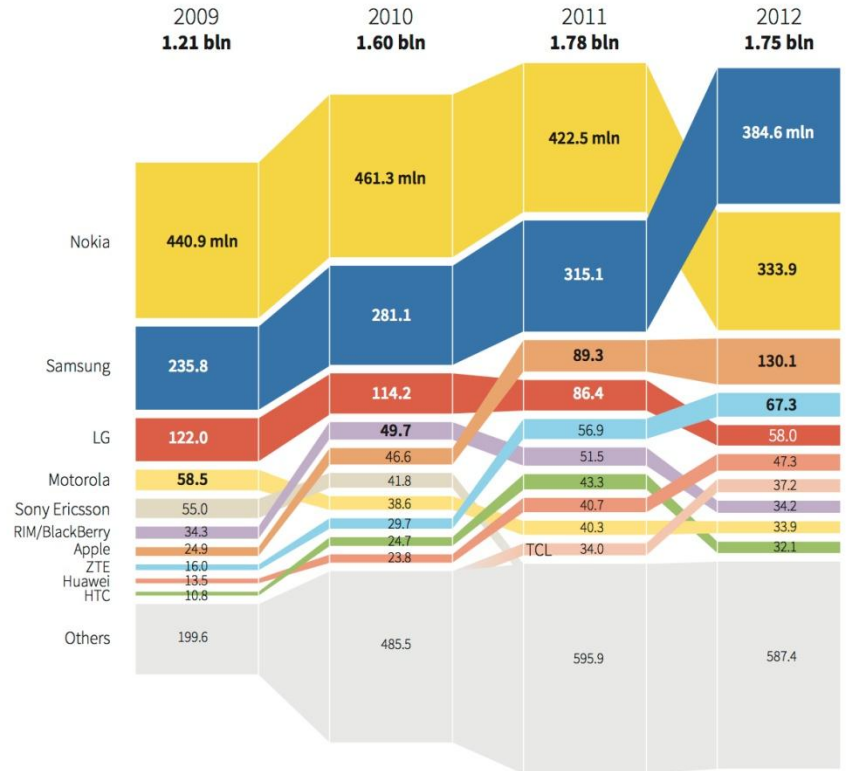
\*greater than  
Sources: Nasscom -CRISIL GR&A analysis

Reuters graphic/Catherine Trevethan 05/10/12



## WORLDWIDE MOBILE DEVICE SALES

By units sold to end users



Source: Gartner

Note: Research In Motion (RIM) rebranded itself as BlackBerry. Sony acquired Ericsson's share in mobile phone venture in Feb. 2012

K.Pong, 25/02/2013

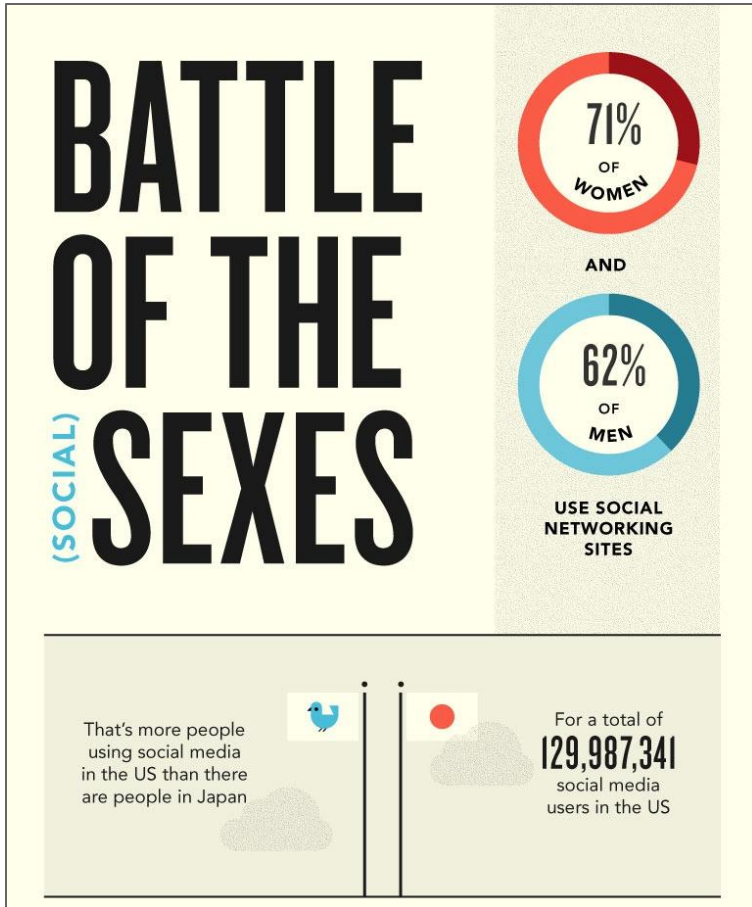


# COMPLIANCE WEEK 2013

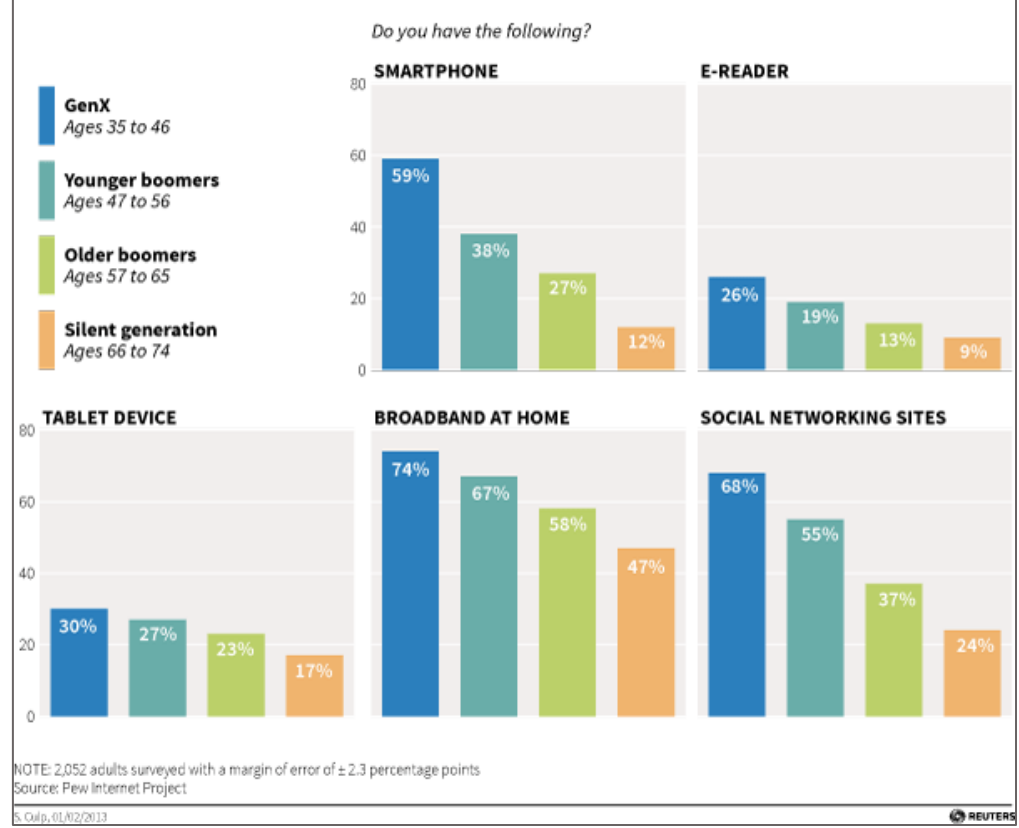
POWERFUL INSIGHTS, PRACTICAL IDEAS, REAL SOLUTIONS

#CW2013

# Technology Adoption Across All Demographic Profiles



## How tech-savvy are boomers, seniors?



# The Younger Workforce: A Different Perspective?

Figure 2. Attitude to using own device for work purposes

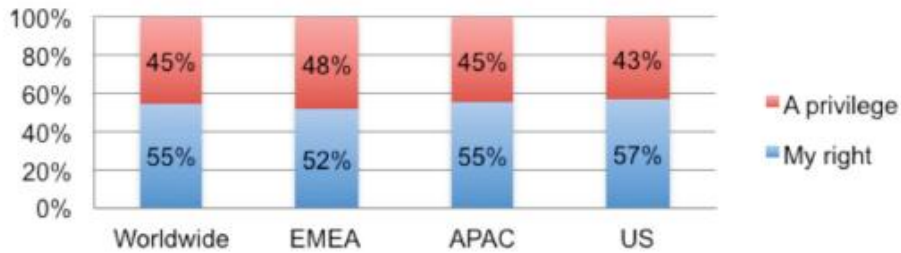


Figure 7. Have you ever/would you ever use a personal device in contravention of policy?

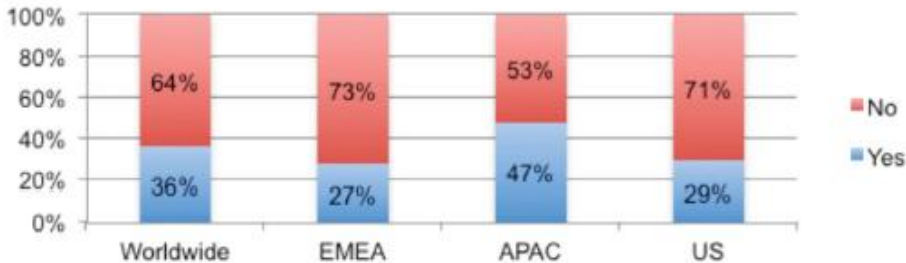
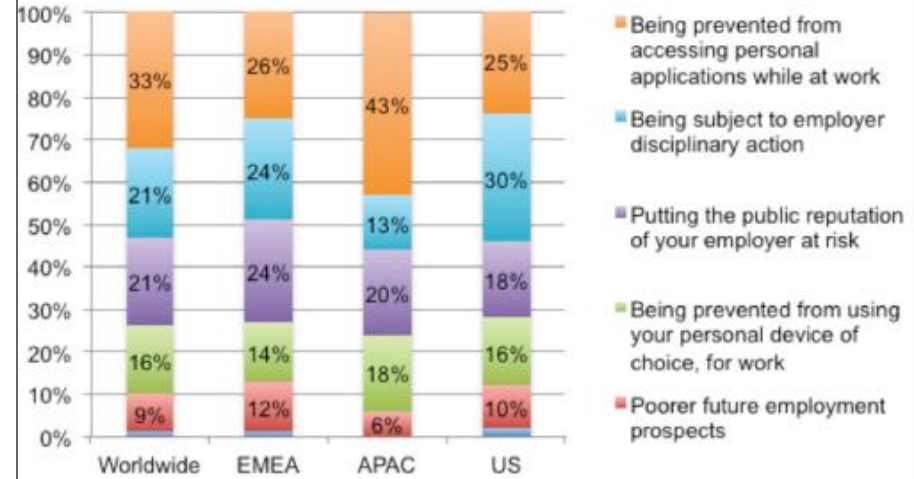


Figure 8. What repercussions would make Gen-Y employees more vigilant when using their personal devices for work?



2012 IT Security Survey, First Generation BYOD Workers Pose Serious Security Challenges, Fortinet, July 2012.

# The Risks Are Real: Social Media Compliance in the Headlines



# Panel Topic #1: Current Challenges

- What are some of the compliance challenges you are observing/experiencing related to emerging technology?
- Do these emerging technology compliance challenges create any special circumstances compared to other regulatory issues?

# Panel Topic #2: Dealing with Regulatory Change

- How do organizations keep up to date on regulatory changes? Technology driven or not?
- What best-practice processes have you observed in managing regulatory change?
- How mature is this discipline within compliance departments?



# Panel Topic #3: Policy Management

- What role does policy management play in driving effective compliance with emerging issues?
- How do you ensure your policies are effective?
- How do you ensure awareness and compliance with policies?

# Panel Topic #4: Controls and Oversight

- Who should own the oversight of controls and policy compliance?
- How is this information tracked and reported?
- What is the role of Compliance?
- What is the role of Internal Audit?

# Question & Answer

**MATT TOLL (Moderator)**

Head of Marketing Communications; Thomson Reuters

**SEAN CRONIN**

Global Director of Pre-Sales; Thomson Reuters

**MAHTAB HAIDER**

Manager, Risk Assurance Internal Audit Management; AFLAC

**MICHAEL RASMUSSEN**

Chief GRC Pundit; GRC 20/20 Research